

# ELECTRONS WITHOUT OPERATORS

## I. ONE ELECTRON

Feynman path integrals.

## II. MANY ELECTRONS

Functional integrals over Grassman fields.

## I. ONE ELECTRON

CM: want path

$$x(t), p(t)$$

All we need is the hamiltonian *function*

$$H(p, x)$$

Can solve Hamilton's eqs.

$$\begin{cases} \frac{dx}{dt} = \frac{\partial H(p, x)}{\partial p} \\ \frac{dp}{dt} = -\frac{\partial H(p, x)}{\partial x} \end{cases}$$

or, equivalently, use the variational principle

$$\delta S [p, x] = 0$$

$$S [p, x] = \int_{t_i}^{t_f} dt \left\{ p \frac{dx}{dt} - H(p, x) \right\}$$

class. action

QM: want quantum-mechanical state

$$|\psi(t)\rangle$$

can get it from the Hamiltonian *operator*

$$H(\hat{p}, \hat{x})$$

by solving Schrödinger's eq.

$$i\hbar \frac{\partial}{\partial t} |\psi(t)\rangle = H(\hat{p}, \hat{x}) |\psi(t)\rangle$$

Looks very different.

May make it closer by writing QM in terms of  $H(p, x)$ .

Evolution of QM state:

$$i\hbar \frac{\partial}{\partial t} |\psi(t)\rangle = H(\hat{p}, \hat{x}) |\psi(t)\rangle \Rightarrow$$

$$\Rightarrow |\psi(t_f)\rangle = e^{-\frac{i}{\hbar} H(\hat{p}, \hat{x})(t_f - t_i)} |\psi(t_i)\rangle$$

$$U(t_f - t_i) \equiv e^{-\frac{i}{\hbar} H(\hat{p}, \hat{x})(t_f - t_i)}$$

time-evolution operator

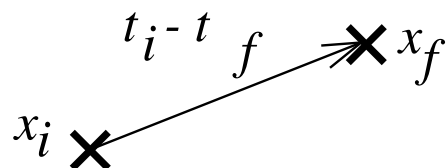
Evolution of wave function:

$$\langle x_f | \psi(t_f) \rangle = \int dx \langle x_f | U(t_f - t_i) | x \rangle \langle x | \psi(t_i) \rangle$$

$\Rightarrow$  if  $|\psi(t_i)\rangle = |x_i\rangle$ , then

$$\langle x_f | \psi(t_f) \rangle = \langle x_f | U(t_f - t_i) | x_i \rangle$$

Gives amplitude for



Want “formula” without  $\hat{p}, \hat{x}$

For any operator

$$\hat{O} = \int dp dx |p\rangle \langle p| \hat{O} |x\rangle \langle x| \quad (1)$$

(from  $\int dx |x\rangle \langle x| = 1$ ,  $\int dp |p\rangle \langle p| = 1$ ).

If  $H(\hat{p}, \hat{x})$  normal-ordered (use  $[\hat{p}, \hat{x}] = i\hbar$ ) can relate  $H(p, x)$  to  $H(\hat{p}, \hat{x})$ :

$$\langle p|H(\hat{p}, \hat{x})|x\rangle = \langle p|x\rangle H(p, x) \quad (2)$$

$$\langle x|p\rangle = (2\pi\hbar)^{-1/2} e^{ipx/\hbar} \quad (\text{plane w.})$$

Using (1) and (2) can do

$$H(\hat{p}, \hat{x}) \rightarrow H(p, x)$$

Split time-evolution:

$$\langle x_f | U(t_f - t_i) | x_i \rangle = \langle x_f | e^{-\frac{i}{\hbar} H(\hat{p}, \hat{x}) \delta t} \dots e^{-\frac{i}{\hbar} H(\hat{p}, \hat{x}) \delta t} | x_i \rangle \quad (3)$$

Each term linear in  $H(\hat{p}, \hat{x})$

$$e^{-\frac{i}{\hbar} H(\hat{p}, \hat{x}) \delta t} \approx 1 - \frac{i}{\hbar} H(\hat{p}, \hat{x}) \delta t$$

so can use previous formulae (1,2)

$$\begin{aligned} & 1 - \frac{i}{\hbar} H(\hat{p}, \hat{x}) \delta t = \\ & = \int dp dx |p\rangle \langle p| \left( 1 - \frac{i}{\hbar} H(\hat{p}, \hat{x}) \delta t \right) |x\rangle \langle x| \\ & = \int dp dx |p\rangle \langle p|x\rangle \left( 1 - \frac{i}{\hbar} H(p, x) \delta t \right) \langle x| \\ & = \int dp dx |p\rangle \langle p|x\rangle e^{-\frac{i}{\hbar} H(p, x) \delta t} \langle x| \quad (4) \end{aligned}$$

Insert (4) in (3) - *no hats!*

But N.B. *many integrals*  
(one  $\int dp_k dx_k$  for each  $t_k$ )

The final formula:

$$\begin{aligned}
& \langle x_f | U(t_f - t_i) | x_i \rangle = \\
& = \langle x_f | e^{-\frac{i}{\hbar} H(\hat{p}, \hat{x}) \delta t} \dots e^{-\frac{i}{\hbar} H(\hat{p}, \hat{x}) \delta t} \dots e^{-\frac{i}{\hbar} H(\hat{p}, \hat{x}) \delta t} | x_i \rangle = \\
& = \int dp_{M-1} dx_{M-1} \dots \int dp_k dx_k \dots \int dp_0 dx_0 \\
& \langle x_f | p_{M-1} \rangle \langle p_{M-1} | x_{M-1} \rangle e^{-\frac{i}{\hbar} H(p_{M-1}, x_{M-1}) \delta t} \langle x_{M-1} | \dots \\
& \quad \dots | p_k \rangle \langle p_k | x_k \rangle e^{-\frac{i}{\hbar} H(p_k, x_k) \delta t} \langle x_k | \dots \\
& \quad \dots | p_0 \rangle \langle p_0 | x_0 \rangle e^{-\frac{i}{\hbar} H(p_0, x_0) \delta t} \langle x_0 | x_i \rangle = \\
& \quad \left[ \begin{array}{l} \langle p_k | x_k \rangle = (2\pi\hbar)^{-1/2} e^{-\frac{i}{\hbar} p_k x_k} \\ \langle x_{k+1} | p_k \rangle = (2\pi\hbar)^{-1/2} e^{\frac{i}{\hbar} p_k x_{k+1}} \\ \langle x_0 | x_i \rangle = \delta(x_0 - x_i) \end{array} \right] \\
& = \int \prod_{k=0}^{M-1} dp_k \prod_{k=1}^{M-1} dx_k \frac{1}{2\pi\hbar} e^{\frac{i}{\hbar} \sum_{k=0}^{M-1} \left\{ p_k (x_{k+1} - x_k) - \frac{i}{\hbar} H(p_k, x_k) \right\}} \\
& \quad x_M \equiv x_f, \quad x_0 \equiv x_i \quad (\text{boundary conditions})
\end{aligned}$$

"Elegant" notation:

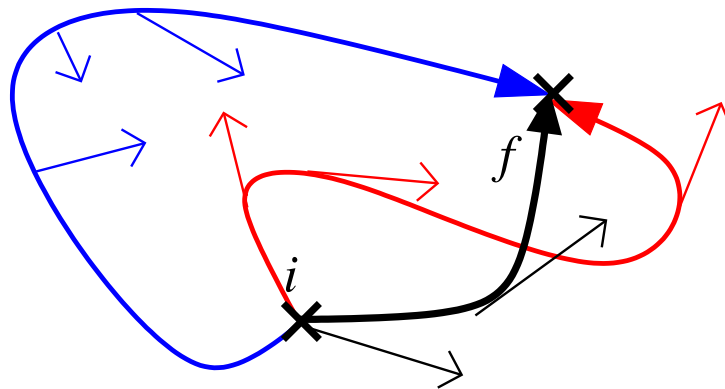
$$\langle x_f | U(t_f - t_i) | x_i \rangle \equiv \int_{x(t_i)=x_i, x(t_f)=x_f} Dx Dp e^{\frac{i}{\hbar} S[p, x]}$$

$$S[p, x] = \int_{t_i}^{t_f} dt \{ p(t) \dot{x}(t) - H(p(t), x(t)) \}$$

classical action

Integrate all paths:

- **classical** and **non-classical**
- $p(t) \neq m\dot{x}(t)$





Achieved

$$H(\hat{p}, \hat{x}) \rightarrow H(p, x)$$

The price

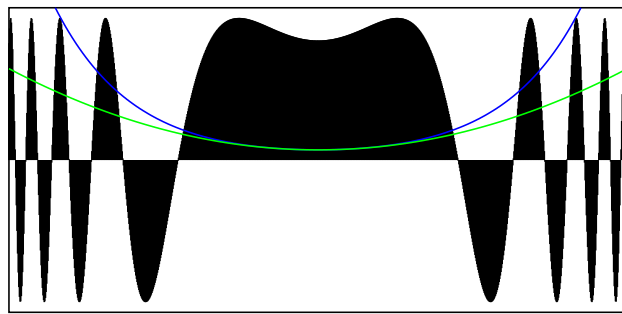
differential equation  $\rightarrow$  functional integral

Usually new problem more difficult (H atom).

But also new approximations (e.g. MC).

Stationary phase approximation:

$$\int du e^{if(u)}, \quad \delta f(u = u_0) = 0$$



expand :  $f(u) \approx f(u_0) + \frac{1}{2}f''(u_0)(u - u_0)^2$

$$\int du e^{if(u)} \approx e^{if(u_0)} \int du e^{i\frac{f''(u_0)}{2}u^2}$$

[Fresnel integral] =  $e^{if(u_0)} \left( \sqrt{\frac{2\pi}{f''(u_0)}} e^{i\pi/4} \right)$

(condition :  $f(u + \Delta u) - f(u) \gg 2\pi$ )

More than one stationary point  $u_0, u_1, \dots$ :

$$\int du e^{if(u)} \approx e^{if(u_0)} (\dots) + e^{if(u_1)} (\dots) + \dots$$

$$\begin{aligned}
 I &\equiv \int_{-\infty}^{\infty} du e^{iau^2} = \frac{1}{\sqrt{a}} \int_{-\infty}^{\infty} du e^{iu^2} \\
 &= \frac{2}{\sqrt{a}} \left\{ \int_0^{\infty} du \cos(u^2) + i \int_0^{\infty} du \sin(u^2) \right\}
 \end{aligned}$$

Fresnel integrals:

$$S(v) = \sqrt{\frac{2}{\pi}} \int_0^v \sin(u^2) du$$

$$C(v) = \sqrt{\frac{2}{\pi}} \int_0^v \cos(u^2) du$$

Thus

$$I = \sqrt{\frac{2\pi}{a}} \underbrace{\left\{ \underbrace{C(\infty)}_{1/2} + i \underbrace{S(\infty)}_{1/2} \right\}}_{e^{i\pi/4}/\sqrt{2}} = \sqrt{\frac{\pi}{a}} e^{i\pi/4}$$

But

$$\frac{1}{\hbar} S [p + \Delta p, x + \Delta x] - \frac{1}{\hbar} S [p, x] \gg 2\pi$$

when

$$S [p, x] \gg \hbar$$

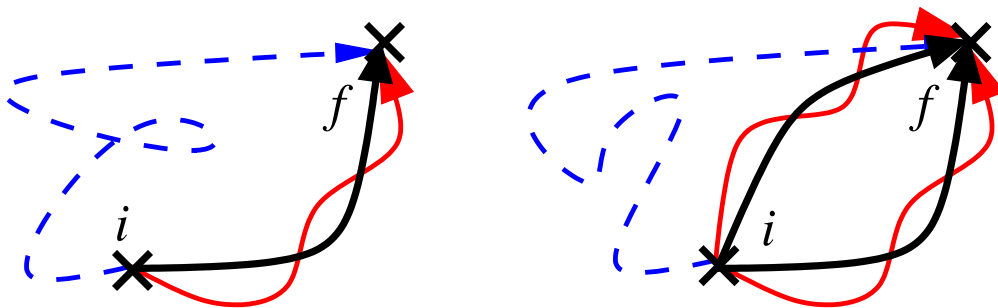
(semiclassical).

Saddle point:

$$\delta S [p, x] = 0$$

(Hamilton's variational principle of CM)

$$\langle x_f | U (t_f - t_i) | x_i \rangle \equiv \sum_{\text{class. paths}} e^{\frac{i}{\hbar} S [p_{cl}, x_{cl}]} (\dots)$$



classical + fluctuations (order  $-n$ ) + interference

## II. MANY ELECTRONS - $H(\hat{p}_1, \dots, \hat{p}_N, \hat{x}_1, \dots, \hat{x}_N)$

Statistical mechanics:

$$Z = \text{tr} \left\{ e^{-\beta H(\hat{p}, \hat{x})} \right\}$$

$$\left[ \text{tr} \{ \hat{O} \} = \int dx \langle x | \hat{O} | x \rangle \right] = \int dx \langle x | U(-i\hbar\beta) | x \rangle$$

Can use Feynman path integral for

$$\langle x_f | U(t_f - t_i) | x_i \rangle$$

- Imaginary time  $\tau = (i/\hbar) t$
- All periodic orbits:  $\tau_f - \tau_i = \beta, x(\tau_f) = x(\tau_i)$

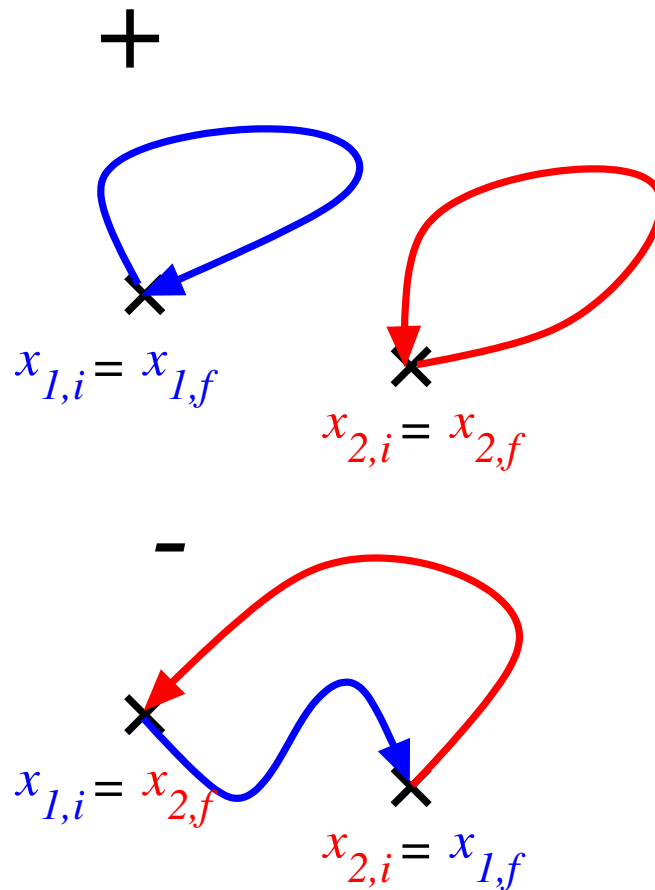
BUT a complication for many particles

$$\psi(\dots x_{i,f} \dots x_{j,f} \dots, t_f) = -\psi(\dots x_{j,f} \dots x_{i,f} \dots, t_f)$$

Not included in Schrödinger Equation

⇒ need to “complete” our formula

Need to give each path a sign:



Complicated!

This problem not exclusive to path integrals.

We want to have the symmetry inside  $\hat{H}$ .

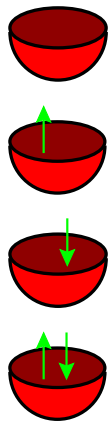
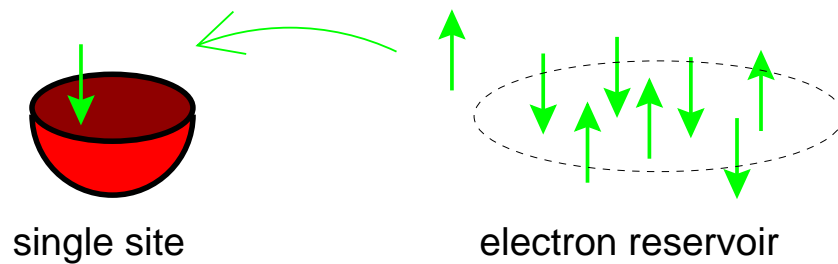
Second quantization:

Fock space  $\mathcal{F}$

- a subset of  $\mathcal{H}$

- all the states with the right symmetry

e.g. one-site Hubbard model



$|0\rangle$

$|\uparrow\rangle$

$|\downarrow\rangle$

$$|\uparrow\downarrow\rangle = (|\uparrow\rangle|\downarrow\rangle - |\downarrow\rangle|\uparrow\rangle) / \sqrt{2}$$

$$\neq (|\uparrow\rangle|\downarrow\rangle + |\downarrow\rangle|\uparrow\rangle) / \sqrt{2} \text{ (e.g.)}$$

$\mathcal{F}$  has only 4 states ( $\mathcal{H}$  has  $\infty$ )

Operators in Fock space:

$$\hat{c}_r^+ |\dots n_r \dots\rangle \equiv (1 - n_r) |\dots n_r + 1 \dots\rangle \quad (\text{creation})$$

$$\hat{c}_r |\dots n_r \dots\rangle \equiv n_r |\dots n_r - 1 \dots\rangle \quad (\text{destruction})$$

$$(\Rightarrow \text{density given by } \hat{c}_r^+ \hat{c}_r |\dots n_r \dots\rangle = n_r |\dots n_r \dots\rangle)$$

N.B. the labels are not particles, but 1-particle states.

Using  $\hat{c}^+$ ,  $\hat{c}$

1. Can go all over  $\mathbf{F}$   
 $\Rightarrow$  can write  $H(\hat{c}^+, \hat{c})$

e.g.

$$H(\hat{c}^+, \hat{c}) = (\epsilon_0 - \mu) (\hat{c}_\uparrow^+ \hat{c}_\uparrow + \hat{c}_\downarrow^+ \hat{c}_\downarrow) + u \hat{c}_\uparrow^+ \hat{c}_\uparrow \hat{c}_\downarrow^+ \hat{c}_\downarrow$$

2. We stay in  $\mathbf{F}$   
 $\Rightarrow H(\hat{c}^+, \hat{c})$  contains the symmetry!



N.B. we have defined  $\hat{c}$  by how it acts on the Slater determinants such as  $|\uparrow\downarrow\rangle$ . How does it act on the simple products of one-particles states, such as  $|\uparrow\rangle|\downarrow\rangle$ , that the Slater determinants are defined in terms of? We can deduce it from the definition. For example,

$$\hat{c}_\uparrow|\uparrow\downarrow\rangle = \hat{c}_\uparrow(|\uparrow\rangle|\downarrow\rangle - |\downarrow\rangle|\uparrow\rangle) / \sqrt{2} \equiv |\downarrow\rangle$$

$$\Rightarrow \hat{c}_\uparrow|\uparrow\rangle|\downarrow\rangle = |\downarrow\rangle / \sqrt{2}, \hat{c}_\uparrow|\downarrow\rangle|\uparrow\rangle = -|\downarrow\rangle / \sqrt{2}$$

This is important because coherent states are defined in terms of these states (not of states of the Fock space) -we need, it, for example, to prove that they are really coherent. We also need that  $|0\rangle|r\rangle = |r\rangle$  (i.e.  $|0\rangle$  is the neutral element, not the null one, which is 0).

Commutation rules:

$$\hat{c}_i^+ \hat{c}_j + \hat{c}_j^+ \hat{c}_i = \delta_{ij}$$

	$ n\rangle =  0\rangle$	$ n\rangle =  1\rangle$
$\hat{c}^+ \hat{c}  n\rangle$	0	$ 1\rangle$
$\hat{c} \hat{c}^+  n\rangle$	$ 0\rangle$	0
$(\hat{c}^+ \hat{c} + \hat{c} \hat{c}^+)  n\rangle$	$ 0\rangle$	$ 1\rangle$

Similarly

$$\hat{c}_i \hat{c}_j + \hat{c}_j \hat{c}_i = \delta_{ij}$$

$$\hat{c}_i^+ \hat{c}_j^+ + \hat{c}_j^+ \hat{c}_i^+ = \delta_{ij}$$

In 1st quantization we had

$$Z = \text{tr} \left\{ e^{-\beta H(\hat{p}, \hat{x})} \right\}$$

Got rid of operators using

$$\langle p | \hat{p} = \langle p | p \quad , \quad \hat{x} | x \rangle = x | x \rangle$$

$$\Rightarrow \langle p | H(\hat{p}, \hat{x}) | x \rangle = \langle p | x \rangle H(p, x)$$

Now 2nd quantisation:

$$Z = \text{tr} \left\{ e^{-\beta H(\hat{c}^+, \hat{c})} \right\}$$

Want “coherent states”

$$\langle \bar{c} | \hat{c}^+ = \langle \bar{c} | \bar{c} \quad , \quad \hat{c} | c \rangle = c | c \rangle$$

$$\Rightarrow \langle \bar{c} | H(\hat{c}^+, \hat{c}) | c \rangle = \langle \bar{c} | c \rangle H(p, x)$$

But writing

$$|c\rangle = \langle 0|c\rangle |0\rangle + \langle 1|c\rangle |1\rangle$$

we have

$$\hat{c} | c \rangle = c | c \rangle \Rightarrow \langle 0 | c \rangle = \langle 1 | c \rangle = 0$$

icoherent states do not exist!

Let us *enlarge*  $F$ :

1. Define *Grassman numbers*:

$$a, b \text{ Grassman} \Leftrightarrow ab = -ba$$

( N.B.  $a^2 = 0$  and  $f(a) = f(0) + f'(0)a$  )

2. Allow for new type of states:

$$|\psi\rangle = \sum_r \langle r|\psi\rangle |r\rangle$$

$\langle 0|\psi\rangle, \langle 1|\psi\rangle$  contain Grassman numbers

The coherent states are

$$|c\rangle \equiv |c_1 c_2 \dots\rangle = \prod_r (|0\rangle + c_r |r\rangle)$$

$$\langle \bar{c}| \equiv \langle \bar{c}_1 \bar{c}_2 \dots| = \prod_r (\langle 0| + \bar{c}_r \langle r|)$$

e.g.

$$|c\rangle \equiv |c_\uparrow c_\downarrow\rangle = (|0\rangle + c_\uparrow |\uparrow\rangle) (|0\rangle + c_\downarrow |\downarrow\rangle)$$

$$\langle \bar{c}| \equiv \langle \bar{c}_\uparrow \bar{c}_\downarrow| = (\langle 0| + \bar{c}_\uparrow \langle \uparrow|) (\langle 0| + \bar{c}_\downarrow \langle \downarrow|)$$

Proof that they really are coherent states:

$$\begin{aligned}
 \hat{c}_\uparrow |c_\uparrow c_\downarrow\rangle &= \hat{c}_\uparrow (|0\rangle + c_\uparrow |\uparrow\rangle) (|0\rangle + c_\downarrow |\downarrow\rangle) \\
 &= \hat{c}_\uparrow (|0\rangle|0\rangle + c_\uparrow |\uparrow\rangle|0\rangle + c_\downarrow |0\rangle|\downarrow\rangle \\
 &\quad + c_\uparrow c_\downarrow |\uparrow\rangle|\downarrow\rangle) \\
 &= \hat{c}_\uparrow \underbrace{|0\rangle|0\rangle}_{|0\rangle} + c_\uparrow \hat{c}_\uparrow \underbrace{|\uparrow\rangle|0\rangle}_{|\uparrow\rangle} + c_\downarrow \hat{c}_\uparrow \underbrace{|0\rangle|\downarrow\rangle}_{|\downarrow\rangle} \\
 &\quad + c_\uparrow c_\downarrow \hat{c}_\uparrow |\uparrow\rangle|\downarrow\rangle \\
 &= 0 + c_\uparrow |0\rangle + c_\downarrow 0 + c_\uparrow c_\downarrow \underbrace{|0\rangle|\downarrow\rangle}_{|\downarrow\rangle} \\
 &= c_\uparrow ( \underbrace{|0\rangle}_{|0\rangle|0\rangle} + c_\downarrow \underbrace{|\downarrow\rangle}_{|0\rangle|\downarrow\rangle} ) \\
 [c_\uparrow c_\uparrow = 0] &= c_\uparrow (|0\rangle|0\rangle + c_\uparrow |\uparrow\rangle|0\rangle + c_\downarrow |0\rangle|\downarrow\rangle \\
 &\quad + c_\uparrow c_\downarrow |\uparrow\rangle|\downarrow\rangle) = c_\uparrow |c_\uparrow c_\downarrow\rangle \quad \text{Q.E.D.}
 \end{aligned}$$

Recall

$$Z = \text{tr} \left\{ e^{-\beta H(\hat{p}, \hat{x})} \right\} = \int dx \langle x | e^{-\beta H(\hat{p}, \hat{x})} | x \rangle$$

$$\int dx dp \langle x | p \rangle | x \rangle \langle p | = 1$$

Need to define “ $\int$ ” over Grassman numbers:

$$\int dadb \, ba \equiv 1$$

$$\int dadb \, ab \equiv -1$$

$$\int dadb \text{ (anything else)} \equiv 0$$

Get the *analogues*

$$Z = \text{tr} \left\{ e^{-\beta H(\hat{c}^+, \hat{c})} \right\} = \int \prod d\bar{c}dc \, e^{-\sum \bar{c}c} \langle -\bar{c} | e^{-\beta H(\bar{c}, c)} | c \rangle$$

$$\int \prod d\bar{c}dc \, e^{-\sum \bar{c}c} | c \rangle \langle \bar{c} | = 1$$

The *result* is

$$Z = \int_{c(\beta)=-c(0), \bar{c}(\beta)=-\bar{c}(0)} D\bar{c}Dc e^{-S[\bar{c},c]}$$

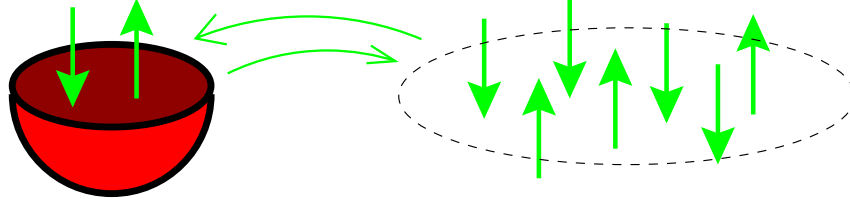
$$D\bar{c}Dc \equiv \prod_{\tau=\delta\tau}^{\beta} \prod_r d\bar{c}_r(\tau) dc_r(\tau)$$

$$\begin{aligned} S[\bar{c}, c] &\equiv \delta\tau \sum_{\tau=\delta\tau}^{\beta} \left\{ \sum_r \bar{c}_r(\tau) \frac{c_r(\tau) - c_r(\tau - \delta\tau)}{\delta\tau} \right. \\ &\quad \left. + H(\bar{c}(\tau), c(\tau - \delta\tau)) \right\} \\ &\equiv \int_0^{\beta} d\tau \left\{ \sum_r \bar{c}_r(\tau) \partial_{\tau} c_r(\tau) + H(\bar{c}(\tau), c(\tau)) \right\} \end{aligned}$$

(N.B. *antiperiodic* boundary conditions)

(N.B. discrete definition rules)

EXAMPLE: one site, non-interacting  $e^-$



$$H(\hat{c}^+, \hat{c}) = (\varepsilon_0 - \mu) (\hat{c}_\uparrow^+ \hat{c}_\uparrow + \hat{c}_\downarrow^+ \hat{c}_\downarrow)$$

Action:

$$\begin{aligned} S[\bar{c}, c] &= \int_0^\beta d\tau \left\{ \sum_\sigma \bar{c}_\sigma(\tau) \partial_\tau c_\sigma(\tau) + \varepsilon \sum_\sigma \bar{c}_\sigma(\tau) c_\sigma(\tau) \right\} \\ &= \sum_\sigma \int_0^\beta d\tau \bar{c}_\sigma(\tau) (\partial_\tau + \varepsilon) c_\sigma(\tau) \end{aligned}$$

$$\begin{aligned} &= \sum_{l=1}^M \sum_\sigma \left\{ \bar{c}_\sigma(\tau_l) [c_\sigma(\tau_l) - c_\sigma(\tau_{l-1})] + \frac{\beta}{M} \varepsilon \bar{c}_\sigma(\tau_l) c_\sigma(\tau_{l-1}) \right\} \\ &= \sum_\sigma \sum_{i,j=1}^M \bar{c}_\sigma(\tau_i) A_{ij} c_\sigma(\tau_j) \end{aligned}$$

$$A = \begin{pmatrix} 1 & & & & & 1 - \frac{\beta\varepsilon}{M} \\ -1 + \frac{\beta\varepsilon}{M} & 1 & & & & \\ & -1 + \frac{\beta\varepsilon}{M} & 1 & & & \\ & & \dots & \dots & & \\ & & & -1 + \frac{\beta\varepsilon}{M} & 1 & \\ & & & & & 1 \end{pmatrix}$$



Partition function:

$$\begin{aligned}
 Z &= \int \prod_{\sigma} d\bar{c}_{\sigma} dc_{\sigma} e^{-\sum_{\sigma} \bar{c}_{\sigma} A c_{\sigma}} \\
 &= \prod_{\sigma} \int d\bar{c}_{\sigma} dc_{\sigma} e^{-\bar{c}_{\sigma} A c_{\sigma}} = \left( \int d\bar{c} dc e^{-\bar{c} A c} \right)^2
 \end{aligned}$$

Use *Gaussian integral*:

$$\int \prod_i d\bar{c}_i dc_i e^{-\sum_{ij} \bar{c}_i A_{ij} c_j} = \|A\|$$

(Proof of the simplest case:  $\int d\bar{c} dc e^{-\bar{c} A c} = \int d\bar{c} dc (1 - \bar{c} A c) = \int d\bar{c} dc 1 - A \int d\bar{c} dc \bar{c} c = A$ )

$$\|A\| = \|\partial_{\tau} + \varepsilon\| = 1 + (1 - \beta\varepsilon/M)^M = 1 + e^{-\beta\varepsilon}$$

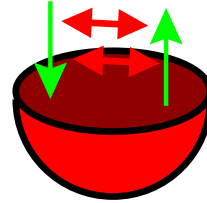
$$\begin{aligned}
 Z &= (1 + e^{-\beta\varepsilon})^2 \\
 &= 1 + e^{-\beta(\varepsilon_0 - \mu)} + e^{-\beta(\varepsilon_0 - \mu)} + e^{-\beta(2\varepsilon_0 - \mu^2)}
 \end{aligned}$$



(as expected)

Proofs in

- 6/7/00 (non-interacting one-site)
- 2/7/00 (Gaussian integral, general case)



If we turn on an interaction

$$H(\hat{c}^\dagger, \hat{c}) = (\epsilon_0 - \mu) (\hat{c}_\uparrow^\dagger \hat{c}_\uparrow + \hat{c}_\downarrow^\dagger \hat{c}_\downarrow) + u \hat{c}_\uparrow^\dagger \hat{c}_\uparrow \hat{c}_\downarrow^\dagger \hat{c}_\downarrow$$

there is a quartic term  $\Rightarrow$  can't use Gaussian.

However in this system with few (2) states the integral can still be done.

We obtain

$$Z = 1 + e^{-\beta(\epsilon_0 - \mu)} + e^{-\beta(\epsilon_0 - \mu)} + e^{-\beta[2(\epsilon_0 - \mu) + u]}$$



(correct!)

Proofs in 7/7/00

In general we can't solve problems with an interaction term exactly.

Need approximation schemes.

For Grassman integrals, we don't know any.

Trick: turn them into ordinary integrals using the *Hubbard-Stratonovich transformation*:

$$e^{b^2} = \frac{1}{\sqrt{\pi}} \int dx e^{-x^2 + 2bx} \quad \left( \text{from } \int dx e^{-x^2} = \sqrt{\pi} \right)$$

$$e^{\bar{b}b} = \frac{1}{2\pi i} \int dz^* \wedge dz e^{-z^*z + z^*b + z\bar{b}} \\ \left( \text{from } \int dz^* \wedge dz e^{-z^*z} = 2\pi i \right)$$

- $e^{b^2} = \dots \rightarrow 13/7/00, 21/7/00$

- $e^{\bar{b}b} = \dots \rightarrow 9/10/00a$

New (bosonic) fields have physical interpretation.

e.g. one-site with interaction

$$Z = \int D\bar{c}Dc e^{-\int_0^\beta \{\sum_\sigma \bar{c}_\sigma (\partial_\tau + \varepsilon) c_\sigma + u \bar{c}_\uparrow c_\uparrow \bar{c}_\downarrow c_\downarrow\}}$$

$$u \bar{c}_\uparrow c_\uparrow \bar{c}_\downarrow c_\downarrow = \frac{u}{2} (\bar{c}_\uparrow c_\uparrow + \bar{c}_\downarrow c_\downarrow)^2 \Rightarrow$$

$$\Rightarrow Z = \int D\varphi \int D\bar{c}Dc e^{-\int_0^\beta d\tau \left\{ \frac{\varphi^2}{2} + \sum_\sigma \bar{c}_\sigma (\partial_\tau + \varepsilon + iu^{1/2}\varphi) c_\sigma \right\}}$$

(“electrostatic” potential -  $e^-$ -photons coupling)

$$u \bar{c}_\uparrow c_\uparrow \bar{c}_\downarrow c_\downarrow = u \bar{c}_\uparrow \bar{c}_\downarrow c_\downarrow c_\uparrow \Rightarrow$$

$$\Rightarrow Z = \int D\bar{\Delta} \wedge D\Delta \int D\bar{c}Dc$$

$$e^{-\int_0^\beta d\tau \left\{ \frac{-|\Delta|^2}{u} + \sum_\sigma \bar{c}_\sigma (\partial_\tau + \varepsilon) c_\sigma - \bar{\Delta} c_\downarrow c_\uparrow - \Delta \bar{c}_\uparrow \bar{c}_\downarrow \right\}}$$

(pairing field - hybridized Bose-Fermi system)

Density - 13/7/00

Pairs - 9/10/00b



Fermionic system non-interacting  
 $\Rightarrow$  can do integrals (Gaussians).

e.g.

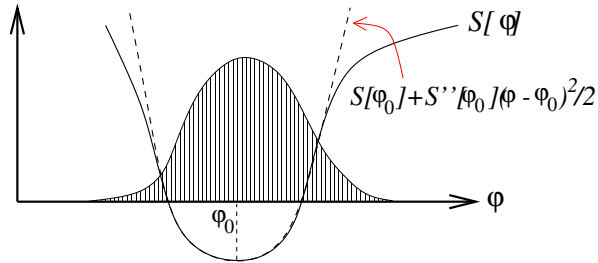
$$\begin{aligned}
 Z &= \int D\varphi \int D\bar{c}Dc e^{-\int_0^\beta d\tau \left\{ \frac{\varphi^2}{2} + \sum_\sigma \bar{c}_\sigma (\partial_\tau + \varepsilon + iu^{1/2}\varphi) c_\sigma \right\}} \\
 &= \int D\varphi e^{-\int_0^\beta d\tau \frac{\varphi^2}{2}} \int D\bar{c}Dc e^{-\int_0^\beta d\tau \sum_\sigma \bar{c}_\sigma (\partial_\tau + \varepsilon + iu^{1/2}\varphi) c_\sigma} \\
 &= \int D\varphi e^{-S[\varphi]}, \quad S[\varphi] \equiv \int_0^\beta d\tau \frac{\varphi^2}{2} - \ln Z_f[\varphi]
 \end{aligned}$$

Solving the fermionic problem

$$S[\varphi] = \int_0^\beta d\tau \frac{\varphi^2}{2} - 2 \ln \|\partial_\tau + \varepsilon + iu^{1/2}\varphi\|$$

We are left with ordinary (difficult) integrals.

*Saddle-point approximation:*



$$\text{expand : } S[\varphi] \approx S[\varphi_0] + \frac{1}{2}S''[\varphi_0](\varphi - \varphi_0)^2$$

$$\text{around } \delta S[\varphi] = 0$$

$$\int d\varphi e^{-S[\varphi]} \approx e^{-S[\varphi_0]} \int d\varphi e^{-\frac{1}{2}S''[\varphi_0]x^2}$$

$$[\text{Gaussian integral}] = e^{-S[x_0]} \sqrt{2\pi/S''[\varphi_0]}$$

Lowest order gives mean field:

$$\delta S[\varphi] = 0 \Rightarrow \delta \left( \int_0^\beta d\tau \frac{\varphi^2}{2} - \ln Z_f[\varphi] \right) = 0$$

$$\Rightarrow \varphi = u \left\langle \sum_{\sigma} \hat{c}_{\sigma}^{\dagger} \hat{c}_{\sigma} \right\rangle_f$$

$$\delta S[\bar{\Delta}, \Delta] = 0 \Rightarrow \Delta = u \langle \hat{c}_{\uparrow} \hat{c}_{\downarrow} \rangle_{BCS}$$

Higher-order  $\Rightarrow$  fluctuations. N.B. no  $1/\hbar$

## BIBLIOGRAPHY

- Nagaosa - nice introduction
- Negele & Orland - drier but more useful
- Kleinert - this guy believes in Feynman path integrals (lots about this left unsaid!)